

### HOUSEKEEPING TIPS

- If you have Zoom issues, please email <u>kacie@directemployers.org</u>
- Questions can be asked using the Q&A panel and will be read aloud at the end
  of the session.
- Today's webinar is being recorded and will be sent via email within a few days.
- This session has also been approved for HRCI and SHRM credits. Please email kacie@directemployers.org to obtain credit information.
- Don't forget to complete the post-event survey at the end of the webinar!
- Want more compliance updates? Sign up for text alerts by texting compliance to 55678

Last but not least...
Use #DEchat to tweet during the event!



# How Do We "Train" Staff on Affirmative Action?

Jennifer Polcer

DirectEmployers Association

## Legal Disclaimer

This presentation is meant to assist in a general understanding of affirmative action regulations in the United States.

It is not to be considered legal or professional advice.

Companies or individuals with particular questions should seek advice of counsel.



### POLLING QUESTION

There is a regulatory requirement to train employees on "affirmative action."

- □ Yes
- □ No
- □ I'm not sure



# Agenda



- I. Affirmative Action Programs
- II. Regulatory Requirements on "Training"
- III. Practical Ideas & Solutions
- IV. Available Resources



### POLLING QUESTION

In an OFCCP audit, our company has been asked to show/demonstrate/indicate that our company conducted "training."

- ☐ Yes
- ☐ I'm not sure
- We have not been audited



# Statutes Enforced by OFCCP





**Executive Order 11246**, as amended, prohibits discrimination based on race, color, religion, sex, gender identity, sexual orientation and/or national origin and requires affirmative action.



**Section 503** of the Rehabilitation Act of 1973, as amended, prohibits discrimination based on disability and requires affirmative action in the employment of qualified individuals with **disabilities**.



**VEVRAA** - The Vietnam Era Veterans' Readjustment Assistance Act of 1974, as amended, assertedly prohibits discrimination against specified categories of veterans protected by the Act and requires affirmative action in the employment of such **protected veterans**.



# Graphic Key

Graphic	Represents / Abbreviations		
	Executive Order 11246, as amended  • "EO 11246"  • "Women & Minorities"		
3	Section 503 of the Rehabilitation Act of 1973, as amended		
X	Vietnam Era Veterans' Readjustment Assistance Act of 1974  • "VEVRAA" (38 USC § 4212)  • Protected Veterans		
	Regulation from Title 41 of the Code of Federal Regulations  • "CFR 41" / § = Section  • Chapter 60 = Office of Federal Contract Compliance Programs, Equal Employment Opportunity, U.S. Department of Labor		



# Regulatory Requirements to "Train"





No specific requirement to "train."



"(j)Training. All personnel involved in the recruitment, screening, selection, promotion, disciplinary, and related processes shall be trained to ensure that the commitments in the contractor's affirmative action program are implemented."

CFR 41 §60-741.44 Required contents of affirmative action programs



"(j)Training. All personnel involved in the recruitment, screening, selection, promotion, disciplinary, and related processes shall be trained to ensure that the commitments in the contractor's affirmative action program are implemented."



### POLLING QUESTION

My organization conducts training per the regulations.

- Yes
- ☐ No
- ☐ I'm not sure
- ☐ We do training, but I'm not sure if its enough or the correct content.



# Who Shall or Should be Trained at Your Organization?

# **AAP Education Plan** Recruitment Team Hiring Managers / People Managers **Human Resources** C-Suite





### Recruitment

What does it mean to be a Federal Government Contractor?

- What are our federal contracts?
- "AAP 101"
- How is data gathered & used?
- Internet Applicant Rule
- Audit Process

What are the company's commitments?

- Self-identification process
- Reasonable accommodation process
- Compensation reviews/analysis
- Review of physical & mental job limitations
- Do we have Placement Goals?
- What is our Protected Veteran Hiring Percentage?

- Fundamentals of non-discrimination ("EEO")
- Disposition coding
- How do I handle reasonable accommodation requests in the application process?
- Outreach efforts and documentation





# **Hiring Managers/People Managers**

What does it mean to be a Federal Government Contractor?

- What are our federal contracts?
- "AAP 101"
- Components of the three different AAPs
- How is data gathered & used?

What are the company's commitments?

- Self-identification process
- Reasonable accommodation process
- Review of physical & mental job limitations
- What is our Veteran Hiring percentage?

- Fundamentals of non-discrimination ("EEO")
- How do I handle reasonable accommodation requests?
- Outreach efforts and documentation



# **Human Resources**

What does it mean to be a Federal Government Contractor?

- What are our federal contracts?
- "AAP 101"
- Have we been audited?

What are the company's commitments?

- Fundamentals of non-discrimination ("EEO")
- Self-identification process
- Reasonable accommodations process
- Compensation reviews/analysis
- Review of physical & mental job limitations

- When/where is our AAP located?
- What do I do if someone's asks to see it?
- How do I handle reasonable accommodation requests in the application process?





### **C-Suite**

What does it mean to be a Federal Government Contractor?

- What are our federal contracts?
- Have we been audited?
- Do we have Placement Goals?
- What is our Veteran Hiring Percentage?
- Where are we with IWD self-identification?

What are the company's commitments?

- Fundamentals of non-discrimination ("EEO")
- Self-identification process
- Reasonable accommodation process
- Compensation reviews/analysis
- Review of physical & mental job limitations

- How may I be a champion for self-identification?
- How may I support outreach?
- How may I support HR/Compliance?
- CEO support/OFCCP interviews



# Sample OFCCP Interview Questions



### Questions asked of a Manager:

- ☐ "What is the procedure when an employee requests a reasonable accommodation?"
- ☐ "Who is responsible for the outreach and recruitment of individuals with disabilities?"
- ☐ "Explain the application process, whether it is online, in-person or a hybrid system."
- "What are the procedures for making the facility accessible for individual with disabilities to participate in any aspect of employment including the application process?"

# Sample OFCCP Interview Questions



- □ "Does your organization review the prescreening questions to determine whether they provide for careful, thorough, and systematic consideration of the job qualifications of applicants and employees with known disabilities for job vacancies filled either by hiring or promotion?"
- ☐ "Is there a process in place for doing this review
- ☐ "Please Explain..."



# Sample OFCCP Interview Questions

3

In an interview with an **employee** who **self-identified** as having had/have a disability:

- ☐ "Are you familiar with the companies employee handbook?"
- ☐ "How do you access the employee handbook?"
- ☐ "Are you familiar with the EEO policies regarding people with disabilities? If yes, please explain."



### Practical Ideas & Solutions

- ☐ Integrate EEO/AAP education into existing activities
  - New Hire & New Manager Orientation
  - Handbook Review
    - EEO Policy / Workplace Respect Policy
    - AA Policy Statement
  - Anti-Harassment / Workplace Respect / Civility Training
  - Quarterly/Bi-Annual Management Meetings
  - Annual Distribution of EEO Policy
  - IWD Self-Id Campaigns





### Practical Ideas & Solutions

- ☐ Create EEO/AAP stand alone education
  - EEO/AAP course for Hiring Managers
  - EEO/AAP course for Recruiters
  - EEO/AAP course for C-Suite
  - Attend DEAM!
  - Attend NILG (National Industry Liaison Group)
    - Report back to staff
  - Attend Local ILG Meetings
    - Allow Recruiters to attend, hiring managers, etc.



## Practical Ideas & Solutions



Educate to Educate ("Train the Trainer")

Affirmative Action Professional						
C-Suite / Legal	<ul> <li>Head of Recruitment</li> <li>Recruiters</li> <li>Q1: Goals &amp; Recruitment Strategy</li> <li>Q2: Outreach Check-in</li> <li>Q3: Outreach Check-in</li> <li>Q4: Year-end review</li> </ul>	HR Business Partners  • Managers  • Staff				

## Available Resources - Compliance





### **OFCCP Website (Currently available)**

- Sample AAPs (Section 503 & VEVRAA)
- Section 503 Checklist
- Sample Section 503 Standard Compliance Evaluation Report (SCER)
- Federal Contractor Compliance Manual (FCCM)



### Resources From OFCCP







# Sample AAPs

OFFICE OF FEDERAL CONTRACT
COMPLIANCE PROGRAMS (OFCCP)
SAMPLE CONTRACTOR AFFIRMATIVE
ACTION PROGRAM (AAP)
FOR SECTION 503

(FOR EDUCATIONAL AND INFORMATIONAL PURPOSES ONLY)

OFFICE OF FEDERAL CONTRACT
COMPLIANCE PROGRAMS (OFCCP)
SAMPLE CONTRACTOR AFFIRMATIVE
ACTION PROGRAM (AAP)
FOR VEVRAA

(FOR EDUCATIONAL AND INFORMATIONAL PURPOSES ONLY)

### I. Table of Contents

I.	Table of Contents			
II.	Policy Statement on Equal Employment Opportunity for Individuals with Disabilities [41			
CFR	3 60-741.44(a)]			
III.	Review of Personnel Processes [41 CFR 60-741.44(b)]5			
IV.	Review of Physical and Mental Job Qualification Standards [41 CFR 60-741.44(c)]7			
V.	Reasonable Accommodation [41 CFR 60-741.44(d)]			
VI.	Anti-Harassment Procedures [41 CFR 60-741.44(e)]9			
VII.	External Dissemination of Policy [41 CFR 60-741.44(f)]10			
VIII. Outreach and Positive Recruitment [41 CFR 60-741.44(f)]11				
а	. FCI's Outreach and Positive Recruitment Activities			
b	. Future Assessment of External Outreach and Recruitment Efforts [41 CFR 60-			
7	41.44(f)(3)]			
IX.	Internal Dissemination of Policy [41 CFR 60-741.44(g)]13			
X.	Audit and Reporting System [41 CFR 60-741.44(h)]14			
XI.	Supporting Data16			
а	. FCI Self-Audit (Conducted on 12/15/2014)			
b	Personnel Activity			
С	. Applicant Flow Logs20			
XII	Perpensibility for Implementation of AAP [41 CFR 60-741.44(i)]			
XIII.	EEO and Affirmative Action Training [41 CFR 60-741.44(j)]22			
XIV	. Applicant and Hiring Data [41 CFR 60 741.14(k)]			
XV.	Utilization Analysis [41 CFR 60-741.45(d)]24			
a	. Job Groups [41 CFR 60-741.45(d)(2)]24			
b	. Utilization of Individuals with Disabilities25			
С	. Identification of Problem Areas and Action-oriented Programs [41 CFR § 60-741.45(e)			
а	nd (f)]			





# Training Examples Per OFCCP Sample AAP



### **Action Item**

- Assist in the identification of problem areas, formulate solutions, and establish departmental goals and objectives when necessary;
- Ensure qualified applicants and employees with disabilities are treated in a nondiscriminatory manner in all employment practices, including when making selection decisions, such as for hire, promotion, training or to receive awards or bonuses;
- Provide reasonable accommodation to the known physical or mental limitations of qualified individuals with disabilities unless such accommodation would impose an undue hardship on the conduct of its business;
- Maintain confidentiality of any disability-related information obtained regarding any applicant or employee; and
- Ensure that nondiscrimination is adhered to in all personnel activities.

What might these look like at your organization?

# Sample Section 503 Standard Compliance Evaluation Report

### SECTION IV. DESK AUDIT PART A. ASSESSMENT OF CONTRACTOR SUBMISSION

Complete this section when you receive the Section 503 affirmative action program and support data.

Initial Review: Indicate if each component is included in the affirmative action program. Determine if each component is acceptable. Compare the contractor's submission to the requirements in the regulations. If a component is either not included or not acceptable, describe the problem under the summary of acceptability. To resolve the problem, work with the contractor during the desk audit and, if necessary, during the onsite.

with the contractor during the desk addit and, it necessary, during the offsite.						
1. Initial Review of AAP						
	INCLUDED IN AAP? Indicate Y/N	ACCEPTABLE? (text only) Indicate Y/N	If NO, include in Section IV, 3. Below			
EEO POLICY STATEMENT 41 CFR 60-741.44(a)	Y	Y				
REVIEW OF PERSONNEL PROCESSES 41 CFR 60-741.44(b)	Y	Y				
REVIEW OF PHYSICAL AND MENTAL JOB QUALIFICATIONS 41 CFR 60-741.44(c)	Y	Y				
REASONABLE ACCOMMODATION 41 CFR 60-741.44(d)	Y	Y				
HARASSMENT PREVENTION 41 CFR 60-741.44(e)	Y	Y				
EXTERNAL DISSEMINATION OF AFFIRMATIVE ACTION POLICY 41 CFR 60-741.44(f)(1)(ii)	Y	Y				
ASSESSMENT OF EACH OUTREACH & POSITIVE RECRUITMENT ACTIVITY 41 CFR 60-741.44(f)(3)	Y	Y				
ASSESSMENT OF TOTALITY OF OUTREACH & POSITIVE RECRUITMENT EFFORTS 41 CFR 60-741.44(f)(3)	Y	Y				
INTERNAL DISSEMINATION OF EEO POLICY 41 CFR 60-741.44(g)	Y	Y				
AUDIT AND REPORTING SYSTEM 41 CFR 60-741.44(h)	Y	Y				
ESTABLISHMENT OF RESPONSIBILITY FOR IMPLEMENTATION 41 CFP 50-741.44(1)	Y	Y				
TRAINING TO ENSURE AAP IMPLEMENTATION	Y	Y				

41 CFR 60-741.44(j)



# OFCCP Federal Contract Compliance Manual (FCCM)

FCCM Update Alerts
Table of Contents
Introduction
Chapter 1 Desk Audit
Chapter 2 On-Site Review
Chapter 3 Construction Industry Compliance Program
Chapter 4 Corporate Management Compliance Evaluations
Chapter 5 Functional Affirmative Action Program Compliance Evaluations
Chapter 6 Complaint Investigation
Chapter 7 Employment Discrimination Remedies
Chapter 8 Resolution of Noncompliance
Keywords and Phrases
Glossary of Abbreviations
Appendices A-1 – A-13
Figures 1 – 6

Letters L-1 - L-41

SECTION 503 AND VEVRAA	INCLUDED IN AAP? Indicate Y/N	ACCEPTABLE? (text only) Indicate Y/N	If NO, include in Part B. II
EEO POLICY STATEMENT 41 CFR 60-300.44(a); 41 CFR 60-741.44(a)			
REVIEW OF PERSONNEL PROCESSES 41 CFR 60-300.44(b); 41 CFR 60-741.44(b)			
REVIEW OF PHYSICAL AND MENTAL JOB QUALIFICATIONS 41 CFR 60-300.44(c); 41 CFR 60-741.44(c)			
REASONABLE ACCOMMODATION 41 CFR 60-300.44(d); 41 CFR 60-741.44(d)			
HARASSMENT PREVENTION 41 CFR 60-300.44(e); 41 CFR 60-741.44(e)			
EXTERNAL DISSEMINATION OF AFFIRMATIVE ACTION POLICY 41 CFR 60-300.44(f)(1)(ii); 41 CFR 60- 741.44(f)(1)(ii)			
ASSESSMENT OF EACH OUTREACH & POSITIVE RECRUITMENT ACTIVITY 41 CFR 60-300.44(f)(3); 41 CFR 60-741.44(f)(3)			
ASSESSMENT OF TOTALITY OF OUTREACH & POSITIVE RECRUITMENT EFFORTS 41 CFR 60-300.44(f)(3); 41 CFR 60-741.44(f)(3)			
INTERNAL DISSEMINATION OF EEO POLICY 41 CFR 60-300.44(g); 41 CFR 60-741.44(g)			
AUDIT AND REPORTING SYSTEM 41 CFR 60-300.44(h); 41 CFR 60-741.44(h)			
41 CFR 60-300.44(i); 41 CFR 60-741.44(i)			
TRAINING TO ENSURE AAP IMPLEMENTATION 41 CFR 60-300.44(j); 41 CFR 60-741.44(j)			
DA17. 41 CFR 60-741.44(k); 41 CFR 60-300.44(k)			
SECTION 503 UTILIZATION GOAL AND ANALYSIS 41 CFR 60-741.45			



### OFCCP Section 503 Checklist



A. Have you **discussed your commitment** to engage in affirmative action efforts to employ and advance in employment qualified individuals with disabilities in both **employee orientation** and **management training programs**?

CFR 41 § 60-741.44(g) Internal Dissemination of policy

A. Has your organization **trained** all personnel involved in the recruitment, screening, selection, promotion, disciplinary, and related processes to **ensure implementation of the commitments** in the contractor's affirmative action program?

CFR 41 §60-741.44 Required contents of affirmative action programs



## Available Resources - Training





### **Job Accommodation Network**

- Job Accommodation Toolkit
- Searchable Online Accommodation Resource (SOAR)
- Practical Guide to Understanding the ADA
- JAN on Demand



### **Employer Assistance & Resource Network on Disability Inclusion**

- Recorded Webinars w/ PowerPoint presentations
- Videos
- Online Training
- Mental Health Toolkit





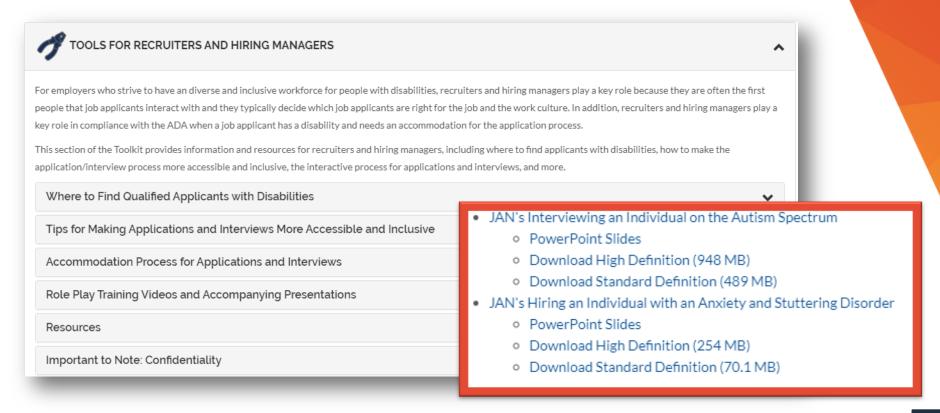
### Partnership on Employment & Accessibility Technology

 Training Resources for Leadership, Human Resources, CIO & Procurement, IT, Web Design & Development, Legal Counsel & Regulatory Staff



### Job Accommodation Network







# Employer Assistance & Resource Network on Disability Inclusion



Find trainings and webinars on our Inclusion@Work Framework for Building a Disability-Inclusive Organization

Learn More



### \*Featured\* EARN Webinar:

Rise Up and Be Counted: Strategies to Increase Selfidentification

Learn More



### \*Upcoming\* EARN Webinar:

Centralized Accommodation Programs as a Best Practice: Register Now!

Learn More



Learn More





# Partnership on Employment & Accessible Technology



### Accessibility Staff Training for Specific Roles

Different job roles within your company will require different training levels and skills. For example, staff who procure <u>ICT</u> for your workplace need training on requesting accessible products from vendors. Staff in HR and Public Relations need to understand how to make online job applications accessible.

It makes sense to assign training for your employees based on the goals they are expected to achieve. While you want to incorporate some accessibility topics into your overall internal staff development programming, keep in mind that not all training has to take place in-house. Some organizations let their accessibility teams or individuals find external training on their own, and professional consultants are also available for hire, or to conduct internal trainings.

In either case, here are some typical job roles and the accessibility training they should ideally receive:

### <u>Leadership</u>

- Facilitates accessibility buy-in across your organization
- Sets the tone for your organization's accessibility mindset
- Makes it easier to establish goals and acquire accessibility-related resources

### Recommended Resources:

Follow-and Join-the Accessibility Leader

Accessibility: Making the Business Case for Employers

Communicating Your Commitment to Accessibility

TechCheck: Accessibility Benchmarking for Your Workplace

Measuring and Evaluating Progress

Disability Inclusion Basics

Digital Accessibility Basics



### Buy IT!

Your Guide for Purchasing Accessible Technology

Learn More



#### **TalentWorks**

Accessible eRecruiting for Employers

Learn More



### Get Started Why Accessible Technology

hy Accessible Technology Matters

View Guide

### **Human Resources**

- . Utilizing accessible job applications and other HR infrastructure tools
- Coordinating accessibility needs for new hires with employees, colleagues and supervisors
- · Recruiting applicants with disabilities

### Recommended Resources:

TalentWorks: Recruiting and Accessibility

Introduction to Inclusive Talent Acquisition &

**Disability Inclusion Basics** 

<u>Digital Accessibility Basics</u>

Professional Development

### CIO and Procurement Officers

- Communicating with vendors about accessibility policies
- Building accessibility into procurement processes and the ICT lifecycle
- Requesting and evaluating Voluntary Product Accessibility Templates (VPATs) and other vendor information
- Requesting AT compatibility and other testing results
- Remediation planning

### Recommended Resources:

Buy IT!—Your Guide to Purchasing Accessible Technology

TechCheck: Accessibility Benchmarking for Your Workplace

<u>Disability Inclusion Basics</u>

<u>Digital Accessibility Basics</u>

Professional Development

<u>Information Technology, Web</u>



# Final Tips

- Document & Retain ALL "training" efforts!
  - Certificates of completion
  - Sign-in Sheets / PowerPoint Presentations
  - Conference attendance
- ☐ Check-in on Recruiters, Hiring Managers, HR Staff
  - What do you understand your responsibilities to be with regard to our commitment to affirmative action?
  - What would you like to know more about?
  - How would you handle this situation?
  - How would you answer these questions?



# Thank you!



### Jennifer Polcer, VP Learning & Development

- **3** (317) 874-9043
- JPolcer@DirectEmployers.org
- in LinkedIn.com/in/jenniferpolcer
- @jenpolcer

